FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	·
/ · %\	Clark E. Smith et a	L.
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Exami Init		Document No.			Class	Sub Class	Filing Date If Appropriate
YF	AA	2,514,745	7/11/50	Dalzell	171	95	
YF	AB	3,593,099	7/13/71	Scholl	. 320	13	
YF	AC	3,607,673	9/21/71	Seyl	204	1 .	·
YF	AD	3,676,770	7/11/72	Sharaf et al.	324	29.5	
YF	ΑE	3,729,989	5/1/73	Little	73	133	
YF	AF	3,753,094	8/14/73	Furuishi et al.	324	29.5	
YF	AG	3,808,522	4/30/74	Sharaf	324	29.5	
YF	AH	3,811,089	5/14/74	Strezelewicz	324	170	
YF	AI	3,873,911	3/25/75	Champlin	324	29.5	
YF	AJ	3,886,443	5/27/75	Miyakawa et al.	324	29.5	
YF	AK .	3,889,248	6/10/75	Ritter	340	249	
VI	AL	3,906,329	9/16/75	Bader	320	44	

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No			
YF	AM	59-17892	1/30/84	Japan			Abstract Only			
YF	AN	59-17893	1/30/84	Japan			Abstract Only			
YF	AO	59-17894	1/30/84	1/30/84 Japan						
		OTHER ART (Inclu	ding Author,	Title, Date, Pertin	ent Pages	, Etc.)				
YF	AP	"Electrochemical Batteries Interna	Impedance Sp tional, Apri	ectroscopy in Batter 1 1997, pgs. 59 and	y Develop 62-63.	ment and	Testing",			
YF	ΑQ	"Battery Impedanc 1959, pgs. 922-92	e", by E. Wi 5.	llihnganz et al., <u>El</u>	ectrical 1	Engineer	ing, September			
YF	AR	"Determining The 368.	End of Batte	ry Life", by S. DeBa	rdelaben,	IEEE, 1	986, pgs. 365-			
YF	AS	"A Look at the Impedance of a Cell", by S. Debardelaben, <u>IEEE</u> , 1988, pgs. 394-397.								

DATE CONSIDERED: 12/15/2006 **EXAMINER:** 

EXAMINER: /Yalkew Fantu/ DATE CONSIDERED: 12/15/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
PATENTS AND PUBLICATIONS FOR PLICANT'S INFORMATION SISCLOSURE STATEMENT	Applicant:	
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FRANCE PRADEMARY	March 18, 2004	

Exami Init	niner Document tial No. Da		Date	Date Name		Sub Class	Filing Date If Appropriate
YF	AT .	3,909,708	9/30/75	Champlin	324	29.5	
YF	AU	3,936,744	2/3/76	Perlmutter	324	158	
YF	AV .	3,946,299	3/23/76	Christianson et al.	320	43	
YF	AW	3,947,757	3/30/76	Grube et al.	324	28	
YF	AX	3,969,667	7/13/76	McWilliams	324	29.5	
YF	AY	3,979,664	9/7/76	Harris	324	17	
YF	AZ	3,984,762	10/5/76	Dowgiallo, Jr.	324	29.5	
YF	BA	3,984,768	10/5/76	Staples	324	62	
YF	вв	4,008,619	2/22/77	Alcaide et al.	73	398	
YF	BC	4,053,824	10/11/77	Dupuis et al.	324	29.5	
YF	BD	4,070,624	1/24/78	Taylor	327	158	
YF	BE	4,086,531	4/25/78	Bernier	324	158	

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		Document No		Date	Country	Class	Sub Class	Translation Yes No
YF	BF	60225078	, 11,	/9/85	Japan			Abstract Only
VR	BG	04372536	. 12.	/25/92	Japan			Abstract Only
YF		04131779	5/	6/92	Japan .			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

YF	ві	"The Impedance of Electrical Storage Cells", by N.A. Hampson et al., <u>Journal of Applied Electrochemistry</u> , 1980, pgs. 3-11.
YF	ВЈ	"A Package for Impedance/Admittance Data Analysis", by B. Boukamp, <u>Solid State</u> <u>Ionics</u> , 1986, pgs. 136-140.
YF	вк	"Precision of Impedance Spectroscopy Estimates of Bulk, Reaction Rate, and Diffusion Parameters", by J. Macdonald et al., <u>J. Electroanal, Chem.</u> , 1991, pgs. 1-11.

EXAMINER: /Yalkew Fantu/ DATE CONSIDERED: 12/15/2006

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
•	Clark E. Smith et a	al.
	Filing Date	Group Art:
·	March 18, 2004	

Exami Init		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
YF	BL	4,112,351	9/5/78	Back et al.	324	16	
YE	BM	4,114,083	9/12/78	Benham et al.	320	39	·
YF	BN	4,126,874	11/21/78	Suzuki et al.	354	60	
VF	BO ·	4,178,546	12/11/79	Hulls et al.	324	158	·
YF	BP	4,193,025	3/11/80	Frailing et al.	324	427	
YF	BQ	4,207,611	6/10/80	Gordon	364	580	
YF	BR	4,315,204	2/9/82	Sievers et al.	322	28	
YF	BS	4,316,185	2/16/82	Watrous et al.	340	636	
YF	BT	4,322,685	3/30/82	Frailing et al.	324	429	
YF	BU	4,363,407	12/14/82	Barkler et al.	209	3.3	
YF	вv	4,369,407	1/18/83	Korbell	324	416	·
YF	BW	4,412,169	10/25/83	Dell'Orto	320	64	

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	вх	03282276	12/12/91	Japan			Abstract Only
YF	ву	03274479	12/5/91	Japan			Abstract Only
YF	BZ	5216550	8/27/93	Japan			Abstract Only
YF	CA	59017894	1/30/84	Japan			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

YF	"Internal Resistance: Harbinger of Capacity Loss in Starved Electrolyte Sealed Lead Acid Batteries, by Vaccaro, F.J. et al., AT&T Bell Laboratories, 1987 IEEE, Ch. 2477, pp. 128,131.

EXAMINER: /Yalkew Fantu/ DATE CONSIDERED: 12/15/2006

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FORM I	ORM PTO-1449							y. Docket 2.12-0180	No.:	Serial N 10/804,4	lo.:
	Ll	ST OF PATENTS APPLICAN DISCLOS		FORMA	TION	FOR	Applicant:				
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					U.S. PA	TENT DOCUMENTS					
Exam: Init		Document No.	Dat	e		Name		Class	Sub Class	Filing If Appro	
YF	СС	4,390,828	6/28/	83	Conve	rse et al.		320	32		
YF	CD	4,392,101	7/5/8	3	Saar	et al.		320	20		
YF	CE	4,396,880	8/2/8	3	Winde	bank		320	21		
YF	CF	4,408,157	10/4/	83	Beaub	ien		324	62	-	
YF	CG	4,423,378	12/27	/83	Marin	o et al.		324	427		
YF	СН	4,423,379	12/27	/83	Jacob	s et al.	324		429		
YF	CI	4,424,491	1/3/8	4	Bobbe	tt et al.		324	433		
YF	CJ	4,459,548	7/10/	84	Lentz	et al.		324	158		
YF	СК	4,514,694	4/30/	85	Finge	r		324	429		
YF	CL	4;520,353	5/28/	85	McAul	iffe		340	636		
YF	СМ	4,667,279	5/19/	87	Maier		363	46			
YF	CN	4,678,998	7/7/8	7 .	Muram	atsu		324	427		
YF	со	4,679,000	7/7/8	7 ·	Clark			324	428		
YF	CP	4,697,134	9/29/			m et al.		320	48		
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		Document	No.	D	ate	Country		Class	Sub Class	Transl Yes	No No
YF	CQ	EP 0 772 056	5 A1	5/7,	/97 .	EPO	•				X
YF	CR	EP 0 637 754	1 A1	2/8	/95	EPO				. X	
YF	CS	2 088 159 A		6/3,		Great Britain				х	
		OTHER ART	Includ	ing A	uthor,	Title, Date,	Pert.	inent Page	es, Etc.)		
YF	CT.	Lead Storage	Batte	ries	for Ger	Maintenance, Therating Station	ons a	and Substa	tions, Th	e Institu	te of
YF	CÜ	Lead Acid Ba	tterie	s: Pa	rt I C	to Assess the onductance/Capa 92, pgs. 218-23	33.	te of Heal y Correlat	ion Studi	ve-Regulates", by D	ted ·

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:		
	Clark E. Smith et al.		
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	March 18, 2004	·	

### U.S. PATENT DOCUMENTS

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**EXAMINER:** 

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· YF	cv	4,707,795	11/17	/87	Alber	et al.	36		550	
YF	CW	4,709,202	11/24	/87	Koenc	k et al.	32		43	*
YF	СХ	4,710,861	12/1/	37	Kanne	r	36	3	46	
YF	CY	4,719,428	1/12/	38	Liebe	rmann	32		436	
YF	CZ	4,743,855	5/10/	38	Randi	n et al.	32	1	430	
YF	DA	4,816,768	3/28/	39	Champ	lin	32	١,	428	
YF	DB	4,820,966	4/11/	39	Fridm	an	32	)	32	
YF	DC	4,825,170	4/25/	39	Champ	lin	32		436	·
YF	DD	4,849,700	7/18/89		Morio	ka et al.	32		427	
YF	DE	4,881,038	11/14/89		Champlin		32		426	
YF	DF	4,912,416	3/27/90		Champlin		32	1	430	
VF	DG	4,929,931	5/29/90		McCuen		34	)	636	
YF	DH	4,931,738	6/5/90		MacIntyre et al.		32		435	
YF	DI	4,947,124	8/7/9	)	Hauser		32		430	
YF	DJ	4,956,597	9/11/	90	Heave	y et al.	32	)	14	·
YF	DK	4,968,941	11/6/		Roger		32		428	·
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YF	DL	WO 93/22666		11/1	11/93	WIPO				х
YF	DM	·			23/98	WIPO				х .
		OTHER ART (	Includ	ing A	uthor,	Title, Date, Pert	inent	Pages	, Etc.)	
YF	DN	"Battery Imp 1959, pgs. 9			E. Wi	llihnganz et al., <u>F</u>	Electr	ical	Engineer	ing, September
YF	DO	"JIS Japanes Standards As	e Indus	stria ion U	1 Stand DC, 62	dard-Lead Acid Batt 1.355.2:629.113.006	teries 6, Nov	for . 199	Automobi 5.	les", <u>Japanese</u>

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		Clark E. Smith et al.		
		Filing Date	Group Art:	
	,	March 18, 2004		

Exam: Init		Document No.	Date		Name	Class	Sub Class	Filing Date If Appropriate
YF	DP	5,004,979	4/2/91	Marin	o et al.	324	160	
YF	DQ	5,032,825	7/16/91	Xuzni	cki	340	636	
YF	DR	5,047,722	9/10/91	Wurst	et al.	324	430	·
YF	DS	5,087,881	2/11/92	Peaco	ck	324	378	
YF	DT	5,126,675	6/30/92	Yang		324	435	
YF	DU	5,140,269	8/18/92	Champ	lin	324	433	
YF	.DV	5,144,248	9/1/92	Alexa	ndres et al.	324	428	
YF	DW	5,170,124	12/8/92	Blair	et al.	324	434	
YF	·DX	5,204,611	4/20/93	Nor e	Nor et al.		- 21	
YF	DY	5,214,370	5/25/93	Harm	Harm et al.		35	
YF	DZ	5,214,385	5/25/93	Gabri	Gabriel et al.		434	
YF	ĒΑ	5,241,275	8/31/93	Fang		324	430	
YF	EB	5,254,952	10/19/9	3 Salle	y et al.	324	429	
YF	EC	5,281,919	1/25/94	Palan	isamy	324	427	
YF	ED	5,281,920	1/25/94	Wurst		324	430	
YF	EE	5,295,078	3/15/94	Stich	et al.	364	483	
YF	EF	5,298,797	3/29/94	Redl		307	246	
YF	EG	5,300,874	4/5/94	Shima	moto et al.	320	15	
YF	EH	5,302,902	4/12/94	Groeh	Groehl		434	
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YF	EI	·						
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Examiner Document No.		. Date		Name	Class	Sub Class	Filing Date If Appropriate
YF EK	5,331,268	7/19/94	Patin	o et al.	320	20	:
YF EL	5,336,993	8/9/94	Thoma	s et al.	324	158.1	
YF EM	5,352,968	10/4/94	Reni	et al.	320	.35	
YF EN	5,343,380	8/30/94	Champ	lin	363	46	
YF EO	5,365,160	11/15/94	Leppo	et al.	320	22	
YF EP	5,365,453	11/15/94	Start	up et al.	364	481	
YF EQ	5,381,096	1/10/95	Hirze	1	324	427	
YF ER	5,426,371	6/20/95	Salle	y et al.	324	429	-
YF ES	5,432,426	7/11/95	Yoshi	da	320	20	
YF ET	5,434,495	7/18/95	795 Toko		320	44	
YF EU	5,442,274	8/15/95	Tamai		320	23	
YF EV	5,457,377	10/10/95	Jonss	on ·	320	5	
YF EW	5,449,996	9/12/95	Matsu	moto et al.	320	20	
YF EX	5,449,997	9/12/95	Gilmo	re et al.	320	39	
YF EY	5,485,090	1/16/96	Steph	ens	324	433 .	
YF EZ	5,519,383	5/21/96	De La	Rosa	340	636	
YF FA	5,528,148	6/18/96	Roger	S	324	426	
YF FB	5,550,485	8/27/96	Falk		324	772	
YF FC	5,561,380	10/1/96	Sway-	Tin et al.	324	509	
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	Examiner Document Initial No. Date Name		Name	Class	Sub Class	Filing Date If Appropriate		
YF	FF	5,562,501	10/8/96	Kinos	Kinoshita et al.		852	
YF	FG	5,572,136	11/5/96	Champ	olin	439 324	426	
YF	FH	5,574,355	11/12/96		nne et al.	320	39	
YF	FI	5,585,728	12/17/96			324	427	
	FJ	5,592,093	1/7/97	Kling		324	426	
YF	FK	5,596,260	1/21/97	<del></del>	vec et al.	320	30	
YF	FL	5,598,098	1/28/97	Champ		324	430	
YF	FM	5,602,462	2/11/97	<del>-  </del>		323	258	
YF	FN	5,606,242	2/25/97		Stich et al. Hull et al.		48	•
YF	FO	5,621,298	4/15/97		Harvey		-5	
	FP	5,642,031	6/24/97		Brotto		21	
YF YF	FO	5,650,937	7/22/97	Bouna			483	
YF	FR	5,652,501	<del> </del>			364	17	
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YF	FS	5,675,234	10/7/97	Green		320	15	
YF	FT	5,677,077	10/14/97	Faulk		429	90	
YF	FU	5,699,050	12/16/97	Kanaz	awa	340	636	
YF	FV	5,701,089	12/23/97	Perki	.ns	327	772	
YF	EW	5,717,336	2/10/98	Basel	l et al.	324	430	
YF	FX	5,757,192	5/26/98	McSha	McShane et al.		427	
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		Clark E. Smith et al	·		
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·		March 18, 2004			

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Exam: Init		Document No.	Dat	:e		Name	Class	Sub Class	Filing Date If Appropriate
YF	GA.	5,773,978	6/30/	98	Becke	r	324	430	
YF	GB	5,789,899	8/4/9	8	van P	huoc et al.	320	30	
YF	GC	5,793,359	8/11/	98	Ushik	ubo.	345	169	·
YF	GD	5,821,756	10/13	/98	McSha	ne et al.	324	430	
YF	GE	5,831,435	11/3/	98	Troy		324	426	·
YF	GF	5,872,443	2/16/	99	Willi	amson	320	21	
YF ·	GG	5,914,605	6/22/	99	Bertn	ess	324	430	
YF	GĤ	5,945,829	8/31/	99 Bertness		ess	324	430	•
YF	GI	3,356,936	12/5/	67	Smith		324	29.5	
YF	GJ	5,488,300	1/30/	96 Jamies		son	324	432	
YF	GK	6,002,238	12/14	14/99 Champ		Champlin		134	·
YF	GL	6,037,777	3/14/	00	Champ	lin	324	430	
YF	GM .	6,051,976	4/18/	00	Bertn	ess	324	426	
YF	GN	6,081,098	6/27/	00	Bertn	ess et al.	320	134	
YF	GO	6,091,245	7/18/	00	Bertn	ess	324	426	
YF	GP	6,104,167	8/15/	00	Bertn	ess et al.	320	132	
YF	GQ	6,137,269	10/24		Champ		320	150	
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YF	GR	DE 29 26 716	B1 ,	1/1	5/81	Germany			. X
ÝF	GS	0 022 450 A1		1/2	1/81	EPO			Х .
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

YF	GT	"Performance of Dry Cells", by C. Hambuechen, Preprint of Am. Electrochem. Soc., April 18-20, 1912, paper No. 19, pgs. 1-5.
YF	GÜ	"A Bridge for Measuring Storage Battery Resistance", by E. Willihncanz, The Electrochemical Society, preprint 79-20, April 1941, pgs. 253-258.

12/15/2006 DATE CONSIDERED: **EXAMINER:** /Yalkew Fantu/

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FORM PTO-1449	AttyDocket No.: C382.12-0180	Serial No.: 10/804,402			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:				
	Clark E. Smith et a	l.			
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Exam: Init		Document No.	Date	Name	Class	:Sub Class	Filing Date If Appropriate
YF	GV	3,562,634	2/9/71.	Latner	31	04	
YF	GW	4,379,990	4/12/83	Sievers et al.	322	99	·
YF	GX	5,144,218	9/1/92	Bosscha	320	44	•
YF	GY	5,315,287	5/24/94	Sol	340	455	•
YF	GZ	5,469,043	11/21/95	Cherng et al.	320	31	
YF	на	5,546,317	8/13/96	Andrieu	364	481	
YF	нв	5,583,416	12/10/96	Klang	320	22	
YF ·	HC	5,589,757	12/31/96	Klang	320	22	
YF	HD	5,656,920	8/12/97	Cherng et al.	320	.31	į
YF	HE	5,710,503	1/20/98	Sideris et al.	320	6	
YF	HF	5,760,587	6/2/98	Harvey	324	434	
YF	НG	5,808,469	9/15/98	Kopera	324	43.4	·
YF	нн	6,008,652	12/28/99	Theofanopoulos et al.	324	434 -	
YF	ні	6,009,369	12/28/99	Boisvert et al.	701	99	
YF	НJ	6,031,354	2/29/00	Wiley et al.	320	116	•
YF	НĶ	6,037,751	3/14/00	Klang	320	160	
YF	HL	6,072,299	6/6/00	Kurie et al.	320	112	
YF	НМ	6,094,033	.7/25/00	Ding et al.	320	132	
YF	HN	6,163,156	12/19/00	Bertness	324	426	
YF	НО	6,172,483	1/9/01	Champlin	320	134	
YF	НР	6,172,505	1/9/01	Bertness .	324	430	

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YF	НQ	2 749 397	12/5/97	France			х
YF.	HR	59215674	12/5/84 .	Japan			Abstract Only

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:			
	Clark E. Smith et a	1.		
	Filing Date	Group Art:		
	March 18, 2004			
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YF	IO	62-180284	8/7/87	Japan			Abstract
YF	IP	7-128414	5/19/95	Japan			Abstract

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FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	JN	4-8636	1/13/92	Japan .			X (Abstract)
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YF	JS	5,739,667	4/14/	98	Matsu	da et	al.		320	5	
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YF	JÜ	6,250,973	6/200	1	Lower	y et a	1.		439	763	
YF	JV	6,254,438	7/200	1	Gaunt	nt			439	755	
YF	JW	6,304,087	10/16	10/16/01 Bertn		ess			. 324	426.	
YF	JX	6,310,481	10/30	10/30/01 Berte		ss			324	. 430	
YF	JY	6,313,607	11/6/	01	Champ	lin			320	132	
YF	JZ	6,313,608	11/6/	01	Vargh	ese et	al.		32	132 .	
YF	KA	6,316,914	11/13	/01	Bertn	ess			320	134	
YF	КВ	5,435,185	7/25/	95	Eagan				73	587	
YF	KC	5,445,026	8/29/	95	Eagan				73	591	
YF	KD	4,667,143	5/19/	87	Coope	r et a	1.		320	22	
YF	KE	4,847,547	7/11/	89	Eng,	Jr. et	al.		320	35	
YF	KF	5,574,355	11/12	/96	McSha	ne et	al.	,	320	39	
YF	KG	4,663,580	5/5/8	7	Wortm	an			320	35	
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YF	кн	WO 99/23738		5/14	/99	WIPO					Х
YF	KI	WO 94/05069		3/3/		PCT					Х
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YF	КJ	National Sem March 1969.	icondu	ctor (	Corpora	ation,	"High Q	Notch	Filter	', 3/69, L	inear Brief 5,
YF	KK	Burr-Brown C 071, 1994.	orpora	tion,	"Desi	gn A 6	O Hz Noto	ch Fil	ter with	the UAF4	2", 1/94, AB-
YF	KL	National Sem 12/94, RRD-B					"LMF90-4	th-Oro	der Elli	otic Notch	Filter",
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
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	Filing Date	Group Art:
	March 18, 2004	

U.S. PATENT DOCUMENTS Filing Date Sub Document Examiner If Appropriate Date Class Class Name Initial No: 426 6,323,650 11/27/01 Bertness et al. 324 . KM YF 132 12/11/01 320 Bertness et al. YF KN 6,329,793 320 134 6,331,762 12/18/01 Bertness YF KO 702 63 12/18/01 YF KP 6,332,113 Bertness 324 429 10/27/81 YF 4,297,639 Branham KQ 477 111 5,653,659 8/5/97 Kunibe et al. KR YF YF 180 65.8 12/19/00 KS 6,161,640 Yamaguchi YF 4,351,405 6/28/82 Fields et al. 180 65 KT 324 426 . YF KU 6,359,441 3/16/02 Bertness ΚV 4,047,091 9/1997 Hutchines et al. 363 59 YF YF 477 111 8/1997 Kunibe et al. KW 5,653,659 320 2 5,637,978 6/1997 Kellett et al. KX YF 307 110 3/1992 Thomas 5,095,223 KY YF 320 2 3/1993 Tomantschger YF ΚZ 5,194,799 363 60 Nicollini YF LA 5,754,417 5/1998 LB YF

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
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		OTHER ART (Include	ding Author,	Title, Date, Pertin	ent Pages	, Etc.)	
YF	LE	"Alligator Clips we downloaded from ht	with Wire Pettp://www.js	netrators" <u>J.S. Popp</u> popper.com/, undated	er, Inc.	oroduct i	nformation,
YF	LF	"#12: LM78S40 Sin from http://www.po	mple Switche	r DC to DC Converter undated	", <u>ITM e-</u>	Catalog,	downloaded
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/Yalkew Fantu/ 12/15/2006 EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**EXAMINER:** 

DATE CONSIDERED:

FORM PTO-1449	Atty. Docket No.: Serial No.: 10/804,402
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:
	Clark E. Smith et al.
•	Filing Date Group Art:
	March 18, 2004

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	MB						
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YF	МС	"DC-DC Converter F http://www.poweder DC/converter.shtm,	designers.c	er Designers, downlo	aded from enter/art	icles/DC-	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED:

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Atty. Docket No.: Serial No.: 10/804,402

Applicant:

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Clark E. Smith et al.

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March 18, 2004

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YF	MV	RU 2089015-C1	8/27/97	Russia			Abstract Only
YF	MW	09061505	3/7/97	Japan			Abstract Only
YF	MX	10056744	2/24/98	Japan			Abstract Only

YF MY "Notification of Transmittal of The International Search Report or the Declaration", PCT/US02/29461.

YF MZ "Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/07546.

EXAMINER: /Yalkew Fantu/ DATE CONSIDERED: 12/15/2006

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Clark E. Smith et a	1.
	Filing Date	Group Art:
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U.S. PATENT DOCUMENTS Sub Filing Date Document No. Examiner Class Class If Appropriate Initial Date Name 550 364 4,888,716 12/19/89 Ueno NA YF 12/17/02 320 132 Champlin NB 6,495,990 B2 YF 320 14 4,385,269 5/24/83 Aspinwall et al. NC YF 320 17 Radomski 8/11/87 ND 4,686,442 YF 702 63 NE 6,061,638 5/9/00 Joyce YF 324 426 3/19/02 Henningson et al. 6,359,442 NF YF 2 320 7/30/96 Dunstan YF NG 5,541,489 324 430 YF 7/23/02 Gollomp et al. NH 6,424,157 YF 324 433 NI 6,534,993 3/18/03 Bertness 439 762 Palmisano et al. NJ 4/8/03 YF 6,544,078 Bertness 324 426 NK 6,556,019 4/29/03 YF 324 426 YF NL 6,566,883 5/20/03 Vonderhaar et al. 7/1/03 Bertness et al. 324 426 6,586,941 -NM YF YF 104 320 NN 6,597,150 7/22/03 Bertness et al. 11/21/02 Bertness 324 426 NO 2002/0171428 YF 701 29 7/17/01 Nathanson ΥF NP 6,263,268

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YF	NQ	WO 00/67359	11/9/00	WIPO			. X
YF	NR	WO 01/51947	7/19/01	WIPO			х
YF	NS	WO 98/04910	2/5/98	WIPO			x
YF	NT	WO 00/62049	10/19/00	WIPO	•		Х
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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YF	OA	5,410,754	4/1	995	Klo	tzbach et al.		3	370	85.13	
YF	ОВ	5,654,623	8/1	8/1997 Shiga et		ga et al.		3	320	48	•
YF	ос	6,055,468	4/2	4/2000 Kaman et al.		an et al.		-	701	29	
Ϋ́F	OD	2003/0025481	2/2003 Bertness		tness		3	320	155		
YF	OE	5,313,152	5/1994		Woz	Wozniak et al.		3	320	118	
ΥF	OF	2003/0184306	10/2003		Ber	Bertness et al.		3	324	426	·
YF	OG	2004/0000891			chle et al.		. 3	320	107		
YF	ОН	3,750,011	7/1	973	Kre	Kreps		3	324	29.5	
YF	OI	5,159,272	10/	1992	Rac	et al.		3	324	429	
YF	OJ	5,325,041	6/1	994	Bri	ggs		3	320	44	<u> </u>
YF	OK	6,526,361	2/2	003	Jon	es et al.		-	702	63	
YF	OL	6,667,624	12/	2003	Rai	chle et al.		3	324	522	
YF	OM	2002/0010558	1/2	4/02	Ber	tness et al.			702	63	
YF	ON	2002/0176010	11/	28/02	Wal	lach et al.			348	229.1	
YF	00	3,652,341/	3/2	8/1972	Hal	sall et al.			136	176	*
YF	OP	5,747,967	5/5	/1998		jadi et al.			320	39	
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YF	OQ	JP 11103503 A		4/1999		Japan					·X(Abstract)
YF	OR	WO 01/59443		2/9/01		WIPO					Х .

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
YF	ΟQ	JP 11103503 A	4/1999	Japan			· X(Abstract)
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YF	OA	6,628,011	9/3	0/03	Dro	oppo et al.		307	. 43	
YF	ОВ	5,780,980	7/1	4/98	Nai	.to		318	139	
YF	ос	5,412,308	5/2	/95	Bro	awa		323	267	
YF	OD	6,222,342	4/2	4/01	Egg	gert et al.		320	105	
YF	OE	6,679,212	1/2	0/04	Kel	ling		123	179.28	
YF	OF	5,850,113	12/	15/98	Wei	mer et al.		307	125	
YF	OG	6,242,887	6/5	/01	Bur	ke		320	104	
YF	ОН	6,238,253	5/2	9/01	Qua	ılls		439	759	
YF	OI	4,056,764	11/	1/77	Enc	o et al.		320	3	
YF	OJ	6,005,759	12/	21/99	Har	t et al.		361	66	
YF	OK	6,064,372	5/1	e\0ò	Kal	ıkoska		345	173	
YF	OL	5,323,337	6/2	1/94	Wil	son et al.		364	574	;
YF	ОМ	5,978,805	11/	2/99	Car	son		707	10	
YF	ON	6,600,815	7/2	9/03	Wal	ding		379	93.07	·
YF	00	4,933,845	6/1	2/90	Hay	es .		364	200	
YF	OP	6,618,644	9/9		Bea	•		700	231	
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YF	OA	5,982,138	11/	9/99	Kr:	ieger		320	105	· · · · · · · · · · · · · · · · · · ·	_
YF	ОВ	4,620,767	11/	4/86	Woo	olf		339	255		_
YF	ос	4,934,957	6/1	9/90	Be:	llusci		439	504		_
YF	OD	5,772,468	6/3	0/98	Kov	walski et al.		439	506		_
YF	OE	5,108,320	4/2	8/92	Kir	nber		439	883		_
YF	OF	5,453,027	9/26/95		Bue	ell et al.		439	433		_
YF	OG	5,865,638	2/2	/99	Tra	afton		439	288		_
YF	ОН	3,796,124	3/12/74		Crosa		85	36		_	
YF	OI	4,280,457	7/2	8/81	Blo	oxham		123	198		_
YF	OJ	4,160,916	7/1	0/79	Par	pasideris		307	10		_
YF	ок	6,356,042	3/1	2/02	Kal	nlon et al.		318	138		_
YF	OL	6,259,170	7/1	0/01	Lir	noge et al.		307	10.8		_
YF	OM	6,081,109	6/2	7/00	Sey	mour et al.		324	127		
YF	ON	6,031,368	2/2	9/00	Kli	ippel et al.		324	133		
YF	00	6,211,651	4/3	/01	Ner	noto		320	133		_
YF	OP	6,046,514	4/4		l .	uillard et al.		307	77		
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		Document No		Date	e	Country		Class	Sub Class	Translation Yes No	
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YF	OW	"Notification Declaration",				of The Internat	iona	al Search	Report or		_

/Yalkew Fantu/ EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED:

EXAMINER:

12/15/2006

FORM PTO-1449	Atty. Docket No.: C382.12-0180	Serial No.: 10/804,402			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:				
	Clark E. Smith et a	1.			
	Filing Date .	Group Art:			
•	March 18 2004				

			υ	S. PATENT DOCUMENTS			
Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
YF	OA	6,531,848	3/11/03	Chitsazan et al.	320	153	
YF	ОВ	4,023,882	5/17/77	Pettersson	339	· 96	
YF	ос	2,000,665	5/7/35	L.P. Neal	173	324 ·	
YF	OD	6,158,000	12/5/00	Collins	713	1	
YF	OE	5,745,044	4/28/98	Hyatt, Jr. et al.	340	825.31	
YF	OF	5,578,915	11/26/96	Crouch, Jr. et al.	320	48	
YF	OG	5,592,094	1/7/97	Ichikawa	324	427	
YF	ОН	6,275,008	8/14/01	Arai et al.	320	132	
YF	OI	5,872,453	2/15/99	Shimoyama et al.	324	431	
YF	OJ	5,321,627	6/14/94	Reher	364	483	*
YF	ок	4,949,046	8/14/90	Seyfang	324	427	
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#### FOREIGN PATENT DOCUMENTS

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

YF OW "Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/27696.

EXAMINER: /Yalkev

/Yalkew Fantu/

DATE CONSIDERED: 12/15/2006